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DOCKET NO.: C1040.70010US00

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

McCluskie et al.

Serial No.:

09/768,012

Confirmation No.:

9273

Filed:

January 22, 2001

For:

IMMUNOSTIMULATORY NUCLEIC ACIDS FOR INDUCING A TH2

IMMUNE RESPONSE

Examiner:

Dave Trong Nguyen

Art Unit:

1632

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

MAIL STOP AMENDMENT

Commissioner For Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Transmitted herewith are the following documents:

- Information Disclosure Statement
- PTO Form 1449 with cited references
- Return Receipt Postcard

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned at (617) 646-8000, Boston, Massachusetts.

A check in the amount of \$180 is enclosed to cover the filing fee. If the fee is insufficient, the balance may be charged to Deposit Account 23/2825. A duplicate of this sheet is enclosed.

Respectfully submitted, McCluskie et al., Applicant

By:

Maria A. Trevisan, Reg. No.: 48,207

Wolf, Greenfield & Sacks, P.C.

600 Atlantic Avenue

Boston, Massachusetts 02210-2206

Telephone: (617) 646-8000

Docket No.: C1040.70010US00

Date: March 25, 2005

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CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to MAIL STOP AMENDMENT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the **25** day of March, 2005.

MAIL STOP AMENDMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

STATEMENT FILED PURSUANT TO THE DUTY OF **DISCLOSURE UNDER 37 CFR §§1.56, 1.97 AND 1.98**

Sir:

Pursuant to the duty of disclosure under 37 C.F.R. §§1.56, 1.97 and 1.98, the Applicant requests consideration of this Information Disclosure Statement.

PART I: Compliance with 37 C.F.R. §1.97

This Information Disclosure Statement has been filed more than three months after the filing date of this application and after the mailing date of the first Office Action, but before the mailing date of either a final action under 37 C.F.R. §1.113 or a Notice of Allowance under 37 C.F.R. §1.311, or an action that otherwise closes prosecution in this application.

The fee of \$180 as set forth in 37 C.F.R. §1.17(p) is enclosed.

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Conf. No.: 9273

PART II: Information Cited

The Applicant hereby makes of record in the above-identified application the information listed on the attached form PTO-1449 (modified). The order of presentation of the references should not be construed as an indication of the importance of the references.

The Applicant hereby makes the following additional information of record in the above-identified application.

The Applicant would like to bring to the Examiner's attention the following co-pending applications that may contain subject matter related to this application:

Docket No.	Serial No.	Filing Date Inventor	
*C1037.70053US01	11/061,140	February 18, 2005	Lipford et al.
*C1039.70044US01	11/056,463	February 11, 2005	Hartmann et al.
*C1039.70048US16	11/031,460	January 7, 2005	Krieg et al.
*C1039.70048US17	11/036,527	January 14, 2005	Krieg et al.
*C1041.70041US01	10/982,193	November 5, 2004	Bauer et al.

^{*}a copy of this reference is not provided as the Office hereby waives the requirement under 37 CFR 1.98(a)(2)(iii) for submitting a copy of each cited U.S. patent application filed after June 30, 2003 and for applications filed before June 30, 2003, or that entered the national stage before June 30, 2003, if they are scanned to Image File Wrapper system and are available on Private PAIR.

PART III: Remarks

Documents cited anywhere in the Information Disclosure Statement are enclosed unless otherwise indicated. It is respectfully requested that:

- 1. The Examiner consider completely the cited information, along with any other information, in reaching a determination concerning the patentability of the present claims;
- 2. The enclosed form PTO-1449 be signed by the Examiner to evidence that the cited information has been fully considered by the Patent and Trademark Office during the examination of this application;
- 3. The citations for the information be printed on any patent which issues from this application.

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By submitting this Information Disclosure Statement, the Applicant makes no representation that a search has been performed, of the extent of any search performed, or that more relevant information does not exist.

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b).

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, in fact, prior art as defined by 35 U.S.C. §102.

Notwithstanding any statements by the Applicant, the Examiner is urged to form his own conclusion regarding the relevance of the cited information.

An early and favorable action is hereby requested.

Respectfully submitted, McCluskie et al., Applicant

Maria A. Trevisan, Reg. No. 48,207

Wolf, Greenfield & Sacks, P.C.

600 Atlantic Avenue

Boston, Massachusetts 02210-2206

Telephone: (617) 646-8000

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Date: March <u>25</u>, 2005

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FORM PTO-14 A and B (Modified) INFORMATION BISCLOSURE STATEMENT BY APPLICANT				APPLICATION NO.:	09/768,012	ATTY. DOCKET NO.: C1040.70010US00
				FILING DATE:	January 22, 2001	CONFIRMATION NO.: 9273
				APPLICANT:	McCluskie et al.	
				GROUP ART UNIT:	1632	EXAMINER: Dave Trong Nguyen
Sheet	1	of	1			

U.S. PATENT DOCUMENTS

Examiner's Cite Initials No.	U.S. Patent Do	cument	Name of Patentee or Applicant of Cited	Date of Publication or of issue of Cited Document MM-DD-YYYY	
	Number	Kind Code	Document		
	-	6,225,292	B1	Raz et al.	05-01-2001
•		2004-0234512	A1	Wagner et al.	11-25-2004
-		2004-0235770	A1	Davis et al.	11-25-2004
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•		2004-0235777	A1	Wagner et al.	11-25-2004
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•		2004-0266719	A1	McCluskie et al.	12-30-2004
		2005-0004061	A1	Krieg et al.	01-06-2005
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		2005-0042203	Al	Davis et al.	02-24-2005
		2005-0043529	A1	Davis et al.	02-24-2005

FOREIGN PATENT DOCUMENTS

Examiner's	Cite	For	eign Patent Docui	nent	Name of Patentee or Applicant of Cited	Date of Publication of	Translation
Initials	No.	Office/ Country	Number	Kind Code	Document (not necessary)	Cited Document MM-DD-YYYY	(Y/N)
		WO	02/28428	A2	Aventis Pasteur	04-11-2002	Y- Abstract Only

EXAMINER:	DATE CONSIDERED:

#EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

[NOTE - The Office hereby waives the requirement under 37 CFR 1.98 (a)(2)(i) for submitting a copy of each cited U.S. patent and each U.S. patent application publication for all U.S. national patent applications filed after June 30, 2003 and for all international applications that have entered the national stage under 35 USC 371 after June 30, 2003. See 37 CFR 1.491(b). For all patent applications filed on or before June 30, 2003, copies of cited U.S. patents and patent application publications are still required unless an eIDS is filed. Copies of all other patent(s), publication(s), or other information listed must still be provided, even if it was previously submitted to, or cited by, the U.S. Patent Office in an earlier application, unless the earlier application is identified by the IDS and is relied upon for an earlier filing date under 35 U.S.C. §120, and the copy was provided in the earlier application.]

^{*}a copy of this reference is not provided as it was previously cited by or submitted to the office in a prior application, Serial No. ___, filed ___, and relied upon for an earlier filing date under 35 U.S.C. 120 (continuation, continuation-in-part, and divisional applications).